



INFORMATION ABOUT YOUR JANNEY MONTGOMERY SCOTT LLC IRA (NOT FOR ROTH IRAs)

JMS LLC Custodian FBO: Account No.: SSN: [checkbox] IRA [checkbox] SEP-IRA [checkbox] SARSEP [checkbox] SIMPLE IRA

INFORMATION ABOUT THE ACCOUNT YOU ARE TRANSFERRING

PLEASE ATTACH A COPY OF A RECENT STATEMENT. Account Title: Account #: Type of IRA being transferred: [checkbox] Traditional IRA [checkbox] Rollover "Conduit" IRA [checkbox] SEP-IRA [checkbox] Commingled IRA [checkbox] SIMPLE IRA

TRANSFER INSTRUCTIONS

Transfer the assets in the manner prescribed below: [checkbox] Transfer All Securities In Kind and Free Credit Balance [checkbox] Liquidate All Assets. Please make a check payable as follows: JANNEY MONTGOMERY SCOTT LLC, CUSTODIAN FBO: [checkbox] Partial Transfers: \$ if cash, or

This transfer will (Check one) [checkbox] completely [checkbox] partially close my IRA. I am aware that penalties may be incurred if time deposits are liquidated prior to their maturity date.

Delivery Instructions: Mail check to: Janney Montgomery Scott LLC 1801 Market Street Philadelphia, PA 19103 Phone: ACATS (215) 665-6648 Non-ACATS (215) 665-6534 Fax: (215) 569-4875

For SIMPLE IRAs transferring to Traditional IRAs (NOTE: A SIMPLE IRA may only be transferred to another SIMPLE IRA. After you have participated in your employer's SIMPLE plan for 2 years, you may transfer from a SIMPLE IRA to any IRA other than a Roth IRA or may convert it to a Roth IRA.) Date Employee First Participated (To be completed by present Custodian/Trustee)

AGE 70 1/2 REMINDER I understand that if this transfer is occurring during or after the calendar year during which I attain the age of 70 1/2, the required minimum amount determined under this IRA is still required to be distributed. I further understand that the current Trustee/Custodian is not responsible for making this distribution prior to the transfer.

IF YOU ARE TRANSFERRING IN ANY UNPRICED SECURITIES YOU MUST ATTACH A LIST THAT INDICATES THE NAME, MOST RECENT VALUE AND VALUATION DATE OF EACH INVESTMENT. Please be advised that JMS LLC must approve the transfer of all outside investments and maintains the ability to confirm or deny transfer of said assets.

AUTHORIZED SIGNATURE

Client's Signature: X Date: I understand that commingling regular IRA contributions with previously rolled assets from an employer plan may preclude me from subsequently rolling over funds from my rollover "conduit" IRA into another qualified plan or 403(b) plan.

ACCEPTING ORGANIZATION

Our organization agrees to serve as the new Custodian for the IRA account of the above-named individual, and as Custodian, we agree to accept the assets being transferred. New Custodian: Janney Montgomery Scott LLC EIN #: 23-2211143

Authorized Signature for Accepting Organization: X Date: